



Supplemental Information Report

Submitted to the Middle States Commission on Higher Education
from
University of Puerto Rico-Rio Piedras

Angélica Varela Llavona, Ph. D.
Chancellor

Subject of the Supplemental Information Report (SIR)

The data collected in the most recent Annual Institutional Update (AIU) suggests serious concerns with the following: Financial Information – the Comprehensive Financial Index (CFI) was below –1 and the Change in Net Assets was negative during the last two years (Standard VI: Planning, Resources, and Institutional Improvement, and Requirement of Affiliation 11). Therefore, the University of Puerto Rico-Rio Piedras Campus should respond to this request for information in a supplemental information report (SIR) by April 14, 2023, providing narrative analysis and evidence addressing these concerns. As part of this process, the institution should review and verify the data submitted in the AIU for accuracy. If the data triggered concern because of an inaccuracy, the institution should work with IPEDS to correct it and explain the inaccuracy in the SIR.

April 14, 2023

Melissa G. Hardin, Ed.D.
Lead Vice President for Institutional Field Relations

Dear Dr. Hardin:

In response to your letter dated March 24, 2023, this Supplemental Information Report (SIR) describes and clarifies the data submitted in the Annual Institutional Update (AIU) that may have triggered concern because of the information provided through IPEDS.

To ensure compliance with state and federal laws, particularly Standard VI: Planning, Resources, and Institutional Improvement, and Requirement of Affiliation 11, we will address and clarify the concerns raised by MSCHE regarding financial information,

The following discussion provides narrative analysis and evidence addressing these concerns.

Cordially,

A handwritten signature in blue ink, reading "Angélica Varela Llavona".

Angélica Varela Llavona, Ph. D.
Chancellor

The data collected by the Middle States Commission on Higher Education (“MSCHE”) in the most recent Annual Institutional Update (AIU) for the Rio Piedras Campus of the University of Puerto Rico suggests serious concerns with the following: Financial Information- the Comprehensive Financial Index (CFI) was below -1 and the Change in Net Assets was negative during the last two years (Standard VI: Planning, Resources, and Institutional Improvement, and Requirement of Affiliation 11).

The following discussion provides narrative analysis and evidence addressing these concerns.

Change in Net Assets

The negative change in net assets mainly resulted because the Rio Piedras Campus has a deficit (negative) net asset position. The following table presents the net asset position of the Rio Piedras Campus, as reported in the IPEDS”:

	NET ASSETS PER IPEDS			
	Fiscal Years Ended June 30,			
	2022	2021	2020	2019
Total Net Position (Deficit), as reported- IPEDS Part A- Line 18	\$ (517,871,559)	\$ (576,893,981)	\$ (590,605,881)	\$ (484,307,418)
Net Increase (Decrease) in the Net Position, as adjusted	\$ 59,022,422	\$ 13,711,900	\$ (106,298,463)	

The Rio Piedras Campus’s deficit net asset position was mainly caused by the effects of the adoption of the Governmental Accounting Standards Board (“GASB”) Statement No. 68, *“Accounting and Financial Reporting for Pensions- an Amendment of GASB Statement No. 27”* (“GASB Statement No. 68”). Excluding from the net assets, the effects of GASB Statement No. 68 net pension liability/deferred outflows and inflows of resources related to pension (which are long-term payment cycle balances, i.e., the liability is payable for more than 50 years), it resulted that the Rio Piedras Campus has a positive net assets position during the four-year fiscal period ended June 30, 2022. The GASB No. 68 amounts also affect the CFI of the Rio Piedras Campus. Refer to Part N- Financial Health of the IPEDS and the table below.

NET ASSETS “AS REVISED”

	Fiscal Years Ended June 30,			
	2022	2021	2020	2019
Total Net Position (Deficit), as reported- IPEDS Part A- Line 18	\$ (517,871,559)	\$ (576,893,981)	\$ (590,605,881)	\$ (484,307,418)
GASB No. 68 Amounts- IPEDS Part M-1:				
Plus:				
Net Pension Liability	380,544,619	636,775,460	806,924,339	734,535,071
Deferred Inflows of Resources from Pension Activities	268,081,692	96,641,993	9,236,768	10,493,625
Less:				
Deferred Outflows of Resources from Pension Activities	(52,651,530)	(67,984,272)	(149,835,722)	(153,480,474)
Total GASB No. 68 Amounts	595,974,781	665,433,181	666,325,385	591,548,222
Total Net Position, as adjusted by GASB No. 68 Amounts	\$ 78,103,222	\$ 88,539,200	\$ 75,719,504	\$ 107,240,804
Net Increase (Decrease) in the Net Position, as adjusted	\$ (10,435,978)	\$ 12,819,696	\$ (31,521,300)	

Comprehensive Financial Index (“CFI”) Below -1

The Comprehensive Financial Index (CFI) is an overall financial measurement of an institution’s health based on four core ratios:

- **Primary Reserve Ratio-** The Primary Reserve Ratio is designed to assess if resources are sufficient and flexible for the operating size of an institution. The Primary Reserve Ratio measures the financial strength of the institution by comparing expendable net assets to total expenses.
- **Viability Ratio-** The Viability Ratio measures if debt resources are strategically managed. The ratio evaluates the extent to which the financial burden of debt outweighs its strategic usefulness. The Viability Ratio measures one of the most basic determinants of clear financial health: the availability of expendable net assets to cover debt should the institution need to settle its obligations as of the balance sheet date.
- **Return on Net Assets Ratio-** The Return on Total Net Assets Ratio evaluates whether financial performance supports institutional objectives. Essentially, institutions must generate a return on net assets that leads to capital reinvestment and financial sustainability. The Return on Total Net Assets Ratio determines whether the institution is financially better off than in previous years by measuring total economic return.
- **Net Operating Revenues Ratio-** The Net Operating Revenues Ratio gages if an institution is operating within its means. Ideally, to optimize financial health, annual results should contribute to and not subtract from resources. The Net Operating Revenues Ratio is a primary indicator, explaining how the surplus from operating activities affects the behavior of the other three core ratios.

The University of Puerto Rico, including the Rio Piedras Campus, is a public institution that follows GASB for financial reporting. The University, founded in 1903, is a state supported university system of the Commonwealth of Puerto Rico created by Law No. 1 of January 20, 1966, “Law of the University of Puerto Rico” (“Act No. 1”), as amended.

To calculate the CFI, the University used, as a reference material, the “*Accounting Tutorial Calculating CFI Ratios for IPEDS Definitions for Public and Private Institutions*” issued by the National Association of College and University Business Officers (“NACUBO”), as applied to Public Institutions that follow GASB, to calculate the four core ratios of the CFI.

The following table presents the CFI, as revised, for the Rio Piedras Campus, excluding the effects of the GASB Statement No. 68 amounts and in the case of the Net Operating Revenue Ratio, excluding the effect of the pension cost (credit) of GASB No. 68 and including the nonoperating revenues because they are a significant part of the Rio Piedras Campus’ business and bottom-line, as explained below. Note that the revised CFI for the Rio Piedras Campus is above zero in the fiscal years ended June 30, 2022, and 2021.

NOTE: "AS REVISED FOR GASB 68 AMOUNTS AND NONOPERATING REVENUES"

		Fiscal Years Ended June 30, 2022		
		2022	2021	2020
Primary Reserve Ratio:				
Variable/Calculation: Expendable Net Assets / Total Expenses and Deductions				
Expendable Nets Assets:				
Restricted expendable, as reported- IPEDS Part A- Line 15	\$	53,072	\$ -	\$ -
Unrestricted, as reported- IPEDS Part A- Line 17	(609,445,599)		(667,568,731)	(681,051,088)
GASB No. 68 Amounts- Unrestricted - IPEDS Part M-1- Lines 02; 03; Less Line 04	595,974,781		665,433,181	666,325,385
Total Expendable Nets Assets, as adjusted	\$ (13,417,746)	\$	(2,135,550)	\$ (14,725,703)
Total Expenses and Deductions:				
Total Expenses and Deductions, as reported- IPEDS Part D- Line 02	\$	173,823,825	\$ 294,583,683	\$ 340,367,931
Pension Credit (Cost) of GASB No. 68- IPEDS Part M-1- Line 01	71,153,921		(62,154,557)	(113,352,602)
Total Expenses and Deductions, as adjusted	\$	244,977,746	\$ 232,429,126	\$ 227,015,329
Primary Reserve Ratio		(0.05)	(0.01)	(0.06)
Viability Ratio:				
Variable/Calculation: Expendable Net Assets / Total Plant-Related Debt at Par Value, including current portion				
Expendable Nets Assets- See above calculation.				
	\$	(13,417,746)	\$ (2,135,550)	\$ (14,725,703)
Plant- Related Debt at Par Value, including current portion- IPEDS Part L- Line 04				
	\$	81,405,565	\$ 88,931,753	\$ 96,103,714
Viability Ratio		(0.16)	(0.02)	(0.15)
Return on Net Assets Ratio:				
Variable/Calculation: Net Assets Change Over Year / Net Assets Beginning of Year				
Net Assets Change Over Year- IPEDS Part N- Line 03				
	\$	15,375,751	\$ 33,720,808	\$ (43,265,363)
Net Assets Beginning of Year- IPEDS Part N- Line 04				
	\$	88,539,200	\$ 75,719,503	\$ 118,984,867
Return on Net Assets Ratio		0.17	0.45	(0.36)
Net Operating Revenues Ratio:				
Variable/Calculation: Gain (Loss) before other Revenues, Expenses, Gains, Losses / Total Operating and Nonoperating Revenues				
Gain (Loss) before other Revenues, Expenses, Gains , Losses:				
Total Operating Revenue- IPEDS B- Line 09	\$	51,546,332	\$ 37,264,060	\$ 40,623,822
Total Nonoperating Revenues- IPEDS Part B-Line 19	207,040,102		228,885,875	182,414,869
Total Operating Expense, as reported, excludes interest expense- IPEDS Part C-2- Line 19-1 less Line 19-6	(169,690,527)		(290,163,715)	(335,622,620)
Nonoperating Expenses- Interest Expense- IPEDS Part C-2- Line 19-6	(4,133,298)		(4,419,968)	(4,745,311)
Pension Cost (Credit) of GASB No. 68- IPEDS Part M-1- Line 01	(71,153,921)		62,154,557	113,352,602
Total Gain (Loss) before other Revenues, Expenses, Gains , Losses, as adjusted	\$	13,608,688	\$ 33,720,809	\$ (3,976,638)
Total Operating and Nonoperating Revenues, as adjusted				
	\$	258,586,434	\$ 266,149,935	\$ 223,038,691
Net Operating Revenues Ratio		0.05	0.13	(0.02)
For institutions with long-term debt the formula for this ratio is below. ((Primary Reserve Ratio / 0.133) * 0.35) + ((Operating Revenues Ratio / 0.013) * 0.10) + ((Return on Net Assets Ratio / 0.020) * 0.20) + ((Viability Ratio / 0.417) * 0.35)				
Primary Reserve Ratio		(0.14)	(0.02)	(0.17)
Viability Ratio		(0.14)	(0.02)	(0.13)
Return on Net Assets Ratio		1.74	4.45	(3.64)
Operating Revenues Ratio		0.40	0.97	(0.14)
CFI		1.86	5.38	(4.07)

The following table presents the Net Operating Revenue Ratio (“NORR”) of the Rio Piedras Campus of the University of Puerto Rico for the three-year period ended June 30, 2022, considering only total operating revenues and total operating expenses:

NORR

		Fiscal Years Ended June 30,		
		2022	2021	2020
Operating Revenues- IPEDS Part B- Line 09		\$ 51,546,332	\$ 37,264,060	\$ 40,623,822
Operating Expenses:				
Total Expenses and Deductions- IPEDS Part C-2- Line 19-1	(1)	\$ 173,823,825	\$ 294,583,683	\$ 340,367,931
Less: Interest Expense- IPEDS Part C-2- Line 19-6		(4,133,298)	(4,419,968)	(4,745,311)
Pension Credit (Cost) of GASB No. 68- IPEDS Part M-1- Line 01		71,153,921	(62,154,557)	(113,352,602)
Total Operating Expenses, as adjusted		\$ 240,844,448	\$ 228,009,158	\$ 222,270,018
Net Operating Loss, as adjusted		\$ (189,298,116)	\$ (190,745,098)	\$ (181,646,196)
NORR		(3.67)	(5.12)	(4.47)

(1) Includes pension cost (credit) of GASB No. 68.

Nonoperating revenues (as defined for IPEDS) are a significant part of the Rio Piedras Campus’ business and bottom-line. Almost all of them are approved and used to carry out the main operations of the University, therefore cover the operating expenses or tuition allowances. These revenues are approved by laws, are of a recurrence basis for the daily operations or are granted by the Federal Government, as explained below. The following table presents the NORR of the Rio Piedras Campus, considering nonoperating revenues to cover operating expenses or tuition allowances.

NORR “AS REVISED”

		Fiscal Years Ended June 30,		
		2022	2021	2020
Operating Revenues- IPEDS Part B- Line 09		\$ 51,546,332	\$ 37,264,060	\$ 40,623,822
Plus:				
State appropriations-IPEDs Part B- Line 11		98,724,693	150,640,500	135,625,420
Federal nonoperating grants, includes Pell Grant program- IPEDS Part B- Line 13		106,301,729	75,122,417	45,873,687
Total Operating Revenues, as adjusted		\$ 256,572,754	\$ 263,026,977	\$ 222,122,929
Operating Expenses:				
Total Expenses and Deductions- IPEDS Part C-2- Line 19-1	(1)	\$ 173,823,825	\$ 294,583,683	\$ 340,367,931
Less: Interest Expense- IPEDS Part C-2- Line 19-6		(4,133,298)	(4,419,968)	(4,745,311)
Pension Credit (Cost) of GASB No. 68- IPEDS Part M-1- Line 01		71,153,921	(62,154,557)	(113,352,602)
Total Operating Expenses, as adjusted		\$ 240,844,448	\$ 228,009,158	\$ 222,270,018
Net Operating Income (Loss), as adjusted		\$ 15,728,306	\$ 35,017,819	\$ (147,089)
NORR, as revised		0.06	0.13	(0.00)

(1) Includes pension cost (credit) of GASB No. 68.

The revised NORR for the Rio Piedras Campus denotes a positive trend for the two-year period ended June 30, 2022, and it is above -1 in the three-year period ended June 30, 2022. Note that average nonoperating revenues that cover operating expense and tuition allowance represents about 5 times the average operating revenues.

After evaluating the different components used to calculate the NORR, we noticed that the NORR should take into consideration the following special circumstances of the University, including the Rio Piedras Campus:

- The University, a state supported university, is highly dependent on the Commonwealth appropriations to finance its operations. Approximately 40% of the University's total revenues (operating revenues and nonoperating revenues, net) for the Central Administration and the University's eleven campuses, including the Rio Piedras Campus, are derived from the Commonwealth and other appropriations which amounted to approximately \$520.6 million, \$597.9 million, and \$589.9 million for the years ended June 30, 2022, 2021 and 2020, respectively.

Appropriations received by the University from the Commonwealth are mainly supported by Act No. 2 of January 20, 1966, as amended. These Commonwealth appropriations support the University's operational expenses to carry out the main educational activities and, in the past, kept low the undergraduate and graduate student attendance costs. Other Commonwealth appropriations are supported by laws.

Commencing in fiscal year 2018, the Commonwealth fiscal plans, as certified by the Oversight Board of PROMESA, started to significantly reduce the Commonwealth appropriations for the operational expenses of the University. In accordance with the Commonwealth Budget for the fiscal years 2022, 2021, 2020, 2019 and 2018, as certified by the Oversight Board of PROMESA, the Commonwealth appropriations for the operational expenses of the University amounted to \$407.1 million, \$501.1 million, \$501.1 million, \$587.1 million, and \$631.2 million, respectively, a decrease of \$426.8 million or 50.0% when compared the 2022 Commonwealth appropriations for the operational expenses of the University with the corresponding Commonwealth appropriations of \$833.9 million for fiscal year 2017 and a cumulative decrease of approximately \$1.54 billion for the five fiscal year period ended June 30, 2022.

The Law to End the Bankruptcy of Puerto Rico ("Act No. 53 of 2021") fixed all the Commonwealth's appropriations for the University (for the Central Administration and the University's eleven campuses, including the Rio Piedras Campus) at \$500 million in each of the five fiscal years from 2023 to 2027. For fiscal year 2023, the approved Commonwealth appropriations for the operational expenses of the University amounted to \$441.2 million. After fiscal year 2027, the Commonwealth appropriations for the operational expenses of the University will be indexed to inflation.

The Commonwealth's appropriations, although for IPEDS purposes they are presented as nonoperating revenues, these appropriations are approved by law, assigned on a recurring basis to carry out the main educational activities and cover operational costs included in

the NORR computation. Thus, the Commonwealth's appropriations should be included as operating revenues in the NORR computation.

- The University has been awarded various federal funds to help respond to the natural disasters (FEMA grants), the pandemic of COVID-19 and the resulting economic disruption (CARES Act grants for student financial aids and institutional support). Most of these federal funds, although for accounting and IPEDS purposes they are presented as nonoperating revenues, cover lost operating revenues and operational expenses included in the NORR computation such as scholarships (student financial aids), salaries, benefits, supplies and other services and other allowable expenses. Thus, they should be included as operating revenues in the NORR computation.
- The Federal Pell Grant program revenues, although for IPEDS purposes they are presented as nonoperating revenues, they are granted to the institution to carry out its main activity: provide educational services. These revenues reduce student tuition and fees outlays by a tuition allowance and its student financial aids component is included as scholarships operating expense. Since about 45% of the gross tuition and fees are covered by Federal Pell Grant program revenues, with the purpose that students receive the main services provided by the University, they should be included as operating revenues in the NORR computation.

The following table presents the calculation of the NORR, considering nonoperating revenues because they are a significant part of the Rio Piedras Campus' business and bottom-line. This ratio is calculated by dividing income (loss) before other revenues and additions by operating and nonoperating revenues.

NORR "AS REVISED"

		Fiscal Years Ended June 30,		
		2022	2021	2020
Operating Revenues- IPEDS Part B- Line 09	\$	51,546,332	\$ 37,264,060	\$ 40,623,822
Nonoperating Revenues- IPEDs Part B- Line 19		207,040,102	228,885,875	182,414,869
Total Operating and Nonoperating Revenues- IPEDS Part B- Line 27		258,586,434	266,149,935	223,038,691
Total Expenses and Deductions- IPEDS Part C-2- Line 19-1	(1)	173,823,825	294,583,683	340,367,931
Pension Credit (Cost) of GASB No. 68- IPEDS Part M-1- Line 01		71,153,921	(62,154,557)	(113,352,602)
Total Expenses and Deductions, as adjusted		244,977,746	232,429,126	227,015,329
Income (Loss) before Other Revenues and Additions, as adjusted		\$ 13,608,688	\$ 33,720,809	\$ (3,976,638)
NORR, as revised		0.05	0.13	(0.02)

(1) Includes pension cost (credit) of GASB No. 68.

The revised NORR for the Rio Piedras Campus denotes a positive trend for the two-year period ended June 30, 2022, and it is above -1 in the three-year period ended June 30, 2022. Note that average nonoperating revenues represent about 5 times the average operating revenues. In addition, the CFI should take into consideration the following other considerations:

- The University is the oldest and largest institution of higher education in Puerto Rico with a history of academic excellence. Commonwealth appropriations are the principal source of the University revenues. Additional revenues are derived from tuitions, federal grants, patient services, auxiliary enterprises, interest income, and other sources.
- The student body of the University and its eleven campuses, including Rio Piedras Campus, which amounted to 46,810 students in fiscal year 2022, is mainly composed of minority students. For the Rio Piedras Campus, about 45% of the students who display exceptional financial need. See below table.

		Fiscal Years Ended June 30,			
		2022	2021	2020	Average
Pell Grants (Federal)- Scholarships applied to tuitions and fees- IPEDS Part E-2- Line 12	A	\$ 23,056,516	\$ 22,505,796	\$ 23,061,459	\$ 22,874,590
Gross Tuition and Fees- IPEDS Pat J- Line 01	B	\$ 52,877,072	\$ 50,230,196	\$ 50,716,350	\$ 51,274,539
Pell Grant Tuition Scholarships as a Percentage of Gross Tuition and Fees	A/B	44%	45%	45%	45%

- The University's operational and academic activities are conducted in Puerto Rico, which in recent years has been experiencing a deep economic recession and a government fiscal and liquidity crisis. The University's operating results are mainly funded by nonoperating revenues mainly from the Commonwealth of Puerto Rico (the "Commonwealth") appropriations and from the United States of America Government grants (Federal Pell Grant Program, CARES Act grants and Federal Emergency Management Agency (FEMA) disaster grants). Therefore, the University's operations and financial condition may be adversely affected by an extended economic slowdown, adverse political, fiscal, economic developments in Puerto Rico or the effects of natural disasters.
- In the last five years, Puerto Rico, a U.S territory, and the University, including the Rio Piedras Campus, have been impacted by three catastrophic hurricanes, earthquake events and the COVID-19 Pandemic which have affected the University's operations, including the Rio Piedras Campus.
- The University's unrestricted cash position (for the Central Administration and the University's eleven campuses, including the Rio Piedras Campus) amounted to approximately \$262.5 million (include cash and cash equivalents of \$193.8 million and short-term investments of \$68.7 million), \$88.1 million, \$185.2 million, and \$281.4 million as of June 30, 2022, 2021, 2020 and 2019, respectively, an increase of \$174.4 million in fiscal year 2022 or 198%, a decrease of \$97.1 million or 52% in fiscal year 2021 and a decrease of \$77.0 million or 42% in fiscal year 2020, for a total decrease of approximately \$193.3 million or 69% when compared the University's unrestricted cash position as of June 30, 2021 with the University's unrestricted cash position as of June 30, 2019, a year before the COVID-19 pandemic.

In fiscal year 2022, the University's unrestricted cash position mainly improved because of cash inflows received from various federal funds to help respond to the COVID-19 pandemic and the resulting economic disruption. The University received about \$93.6 million in collections of prior year accounts receivable related to CARES Act funds, mainly granted for institutional support for lost revenues. In addition, the University received collections of about \$58.0 millions of prior year accounts receivable for unpaid contracted medical services from significant settlement agreements reached by the University with three related parties.

- The emergency orders for the Hurricane Maria and the earthquake events mandate federal assistance through the Department of Homeland Security and the Federal Emergency Management Agency (FEMA) be made available to assist in local and territorial recovery efforts. The University developed a formal Disaster Recovery Program to address the damage caused by both events. The University (the Central Administration and the University's eleven campuses, including the Rio Piedras Campus) expects to receive from FEMA, during the following fifteen years approximately \$752.2 million, for conducting permanent projects approved by FEMA. This will require from the University, at first, to incur and spend the programmed costs for each project, and afterwards, request from FEMA, the corresponding federal share granted amount.

In addition, the University of Puerto Rico, Rio Piedras Campus, has undertaken multiple initiatives to increase the University's income to meet the Institutional, Academic and Research needs. The following is a list of current projects and initiatives from the Division of Continuing Education and Professional Studies (DECEP for its acronym in Spanish) and the Dean for Graduate Studies and Research (DEGI for its acronym in Spanish).

Table 1

Division of Continuing Education and Professional Studies (DECEP)-Estimated Earnings for FY24

PROGRAM	FY24 ESTIMATED PROFIT
Regular Program	
Regular offering of credit and non-credit courses and professional certifications	\$350,000
Summer Offer	\$200,000
Advanced Offer	\$100,000
Summer camp offerings	\$75,000
OATRH Agreement	\$1,250,000
DEPR Agreement	\$1,000,000
New Initiatives	
Summer and Christmas get-togethers for people over 60 years old	\$150,000
AARP agreement 2 keynote lectures on ageism	\$75,000
Universal Agreement	\$15,000
First bank Agreement	\$2,500
Agreement with the Center for Puerto Rico	\$5,000

New certificates in areas: cybersecurity, big data, mindfulness, sustainability and estheticism	\$50,000
Virtualization of courses, use of moodle platform and technical support to Correction and CFSE agencies.	\$150,000
Intervention Protocol for Violence in Agencies through Immersive Theater and Always Alive (special project under OATRH).	\$200,000
Rental of halls and amphitheaters	\$6,000
Rental income – Billboards	\$30,000
Invoicing and collections	
Collection of outstanding debts	\$75,000
Estimated Total	\$3,736,000
New External Funds proposals to be submitted	
Title I of the Workforce Innovation and Opportunity Act (WIOA) with DDEC	
Hotel and Wellness Center (CDBG funds)	
Immersive theater for training	
Proposals for current external funds	
Title V - Student Success Model	\$3M for 5 years until 2027
CDBG - Labor Training Center	\$1.9M for 5 years until 2025

Table 2

Dean for Graduate Studies and Research (DEGI) Submitted Proposals FY23

AGENCY	Date Submitted	Amount Requested
EA Michelson Philanthropy	6-Jul-2022	\$ 25,000
	Total	\$ 25,000

AGENCY	Date Submitted	Amount Requested
NIH Subaward Harvard University	15-Jul-2022	\$ 405,240
NEH	15-Jul-2022	\$ 49,996
ACUDEN	15-Jul-2022	\$ 204,861
NIH Close out	15-Jul-2022	
NIH NeuroID Progress Report	15-Jul-2022	\$ 501,575
NIH Close out	15-Jul-2022	
	Total	\$ 1,161,672

AGENCY	Date Submitted	Amount Requested
NIH Close out	19-Jul-2022	
NSF Convergence	20-Jul-2022	\$ 750,000
	Total	\$ 750,000

AGENCY	Date Submitted	Amount Requested
USDA	2-Aug-2022	\$ 216,000
DoE LOI	2-Aug-2022	
NIH Close out	3-Aug-2022	
US Department of Energy	5-Aug-2022	\$ 310,000
	Total	\$ 526,000

AGENCY	Date Submitted	Amount Requested
USDA	15-Aug-2022	\$ 68,000
USDA, NIFA	18-Aug-2022	\$ 423,000
DoE Subaward AGM	18-Aug-2022	\$ 75,000
	Total	\$ 566,000

AGENCY	Date Submitted	Amount Requested
DoE	23-Aug-2022	\$ 800,000
	Total	\$ 800,000

AGENCY	FECHA ENVIO	Amount Requested
Fundación Mano Amiga	31-Aug-2022	\$ 20,000
	Total	\$ 20,000

AGENCY	FECHA ENVIO	Amount Requested
AHA Beca individual	7-Sep-2022	\$ 65,106
Iberarchivos	8-Sep-2022	\$ 8,000
PRSTT	9-Sep-2022	\$ 11,000
Fundación Fondo Acceso a la Justicia	9-Sep-2022	\$ 83,835
	Total	\$ 167,941
AGENCY	Date Submitted	Amount Requested
NSF, Subaward Arizona State Univ.	13-Sep-2022	\$ 250,000
NSF	17-Sep-2022	\$ 174,625
	Total	\$ 424,625

AGENCY	Date Submitted	Amount Requested
Iberarchivos	26-Sep-2022	\$ 10,000
NIH, Subaward CCC	26-Sep-2022	\$ 122,449
NIH, Interim R15	26-Sep-2022	
	Total	\$ 132,449

AGENCY	Date Submitted	Amount Requested
Instituto de Cultura ARPA	4-Oct-2022	\$ 24,992
NIH	5-Oct-2022	\$ 409,749
	Total	\$ 434,741

AGENCY	Date Submitted	Amount Requested
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American Cancer Society	17-Oct-2022	\$ 233,000
NIH-Subaward Cs. Médicas	17-Oct-2022	\$ 154,215
Mellon Foundation	18-Oct-2022	\$ 3,303,318
Total		\$ 3,690,533

AGENCY	Date Submitted	Amount Requested
MITTIC	26-Oct-2022	\$ 5,000
Fundación Fondo Acceso a la Justicia	28-Oct-2022	\$ 43,922
NSF, Subaward University Clemson	28-Oct-2022	\$ 214,146
Total		\$ 263,068

AGENCY	Date Submitted	Amount Requested
NSF, Capacity	3-Nov-2022	\$ 1,180,313
NSF, BDP	4-Nov-2022	\$ 1,075,000
Total		\$ 2,255,313

AGENCY	Date Submitted	Amount Requested
Procuraduría de las Mujeres (Office of the Women's Ombudsman)	7-Nov-2022	\$ 47,584
Dept of Justice, VOCA Statewide	7-Nov-2022	\$ 19,700
Total		\$ 67,284

AGENCY	Date Submitted	Amount Requested
NSF	14-Nov-2022	\$ 1,312,515
FPH	14-Nov-2022	\$ 17,579

NSF	15-Nov-2022	\$ 950,878
FPH	18-Nov-2022	\$ 19,626
FPH	18-Nov-2022	\$ 19,900
Total		\$ 2,320,498

AGENCY	FECHA ENVIO	Amount Requested
NSF Crest	2-Dec-2022	\$ 5,000,000
Total		\$ 5,000,000

AGENCY	Date Submitted	Amount Requested
NIH- Progress Report	8-Dec-2022	
NIH	8-Dec-2022	\$ 93,126
NSF-Subaward Texas Tech	9-Dec-2022	\$ 533,738
Total		\$ 626,864

AGENCY	Date Submitted	Amount Requested
NSF-subaward FIU	12-Dec-2022	\$ 72,013
USDA	12-Dec-2022	\$ 4,986,931
NSF, subaward Columbia University	15-Dec-2022	\$ 74,599
Esperanza United	16-Dec-2022	\$ 141,316
Total		\$ 5,274,859

AGENCY	Date Submitted	Amount Requested
NSF	19-Dec-2022	\$ 5,000,000
ADFAN	21-Dec-2022	\$ 9,450,000
NSF, University of Michigan	21-Dec-2022	\$ 524,363
NSF, University of Utha Pre Proposal	21-Dec-2022	\$ 1,923,660
Total		\$ 16,898,023

AGENCY	Date Submitted	Amount Requested
NIH-Close Out R15	11-Ene-2023	
	Total	\$ -

AGENCY	Date Submitted	Amount Requested
NSF-Subaward University Michigan	18-Ene-2023	\$ 171,349
	Total	\$ 171,349

AGENCY	Date Submitted	Amount Requested
NOAA, Subaward Arizona State Uni	26-Ene-2023	\$ 170,880
NIH	27-Ene-2023	\$ 5,646,031
NSF	27-Ene-2023	\$ 183,388
	Total	\$ 6,000,299

AGENCY	Date Submitted	Amount Requested
SAMHSA, Progress Report	30-Ene-2023	\$ 125,000
SAMHSA, Progress Report	1-Feb-2023	\$ 395,076
Venture Well	1-Feb-2023	\$ 5,000
NIH	2-Feb-2023	\$ 782,249
	Total	\$ 1,307,325

AGENCY	Date Submitted	Amount Requested
NSF	8-Feb-2023	\$ 498,247
NSF	9-Feb-2023	\$ 590,000
	Total	\$ 1,088,247

AGENCY	Date Submitted	Amount Requested
Fundación Flamboyán	13-Feb-2023	\$ 100,000
	Total	\$ 100,000

AGENCIA	Date Submitted	Amount Requested
NIH	24-Feb-2023	\$ 7,997,811
	Total	\$ 7,997,811

AGENCY	Date Submitted	Amount Requested
NSF	28-Feb-2023	\$ 900,000
NSF	28-Feb-2023	\$ 300,000
NSF	28-Feb-2023	\$ 345,868
MBDA	28-Feb-2023	\$ 1,946,247
	Total	\$ 3,492,115

AGENCY	Date Submitted	Amount Requested
FPH	9-Mar-2023	\$ 3,000
DoE, LOI Brookhaven National Lab	9-Mar-2023	\$ -
NSF	9-Mar-2023	\$ 685,000
	Total	\$ 688,000

AGENCY	Date Submitted	Amount Requested
AARP	15-Mar-2023	\$ 19,000
	Total	\$ 19,000

AGENCY	Date Submitted	Amount Requested
USDA	23-Mar-2023	\$ 222,850

Departament of Justice, VOCA	24-Mar- 2023	\$ 225,195
	Total	\$ 448,045

AGENCY	Date Submitted	Amount Requested
NSF-LTREB	27-Mar- 2023	\$ 300,000
Traffic Safety Commission	29-Mar- 2023	\$ 251,493
National Security Agency	31-Mar- 2023	\$ 147,363
Spencer Foundation	31-Mar- 2023	\$ 47,014
	Total	\$ 745,870

Total Requested Amount	\$ 1,627,254.64
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Table 3

DEGI Approved Proposals FY23

Dept	Grant Award Num	Agency	Period of Effectiveness	Total Grant Funds	Total Indirect Costs
Biology	5P20GM103642-10	139,National Institutes of Health (NIH)	7/1/2022 hasta 6/30/2023	\$206,399.00	\$67,876.00
Biology	5P20GM103642-10	139,National Institutes of Health (NIH)	10/26/2022 hasta 6/30/2023	\$75,500.00	\$24,000.00
Biology	PENDING	635,Fideicomiso de Ciencia, Tecnología e Investigación de PR	9/1/2022 hasta 8/31/2024	\$70,000.00	\$0.00
Biology	1R25NS127776-01	139,National Institutes of Health (NIH)	9/1/2022 hasta 6/30/2027	\$1,289,296.00	\$39,296.00

Biology	1R25NS127776-01	139,National Institutes of Health (NIH)	9/1/2022 hasta 6/30/2027	\$1.00	\$0.00
Environmental Sciences	BOA-962 TOA-603090	108,Department of Energy (DOE)	2/3/2023 hasta 2/2/2024	\$300,000.00	\$62,296.00
Environmental Sciences	F9002550402170	155,United States Agency for International Development (USAID)	10/1/2022 hasta 9/30/2023	\$94,318.71	\$0.00
Environmental Sciences	IDEAS21-UPR01	113,Department of State	9/1/2022 hasta 8/31/2024	\$34,224.00	\$7,999.00
Environmental Sciences	DE-SC0023544	108,Department of Energy (DOE)	2/1/2023 hasta 1/31/2027	\$206,205.00	\$52,664.00
Environmental Sciences	DE-SC0023544	108,Department of Energy (DOE)	2/1/2023 hasta 1/31/2027	\$513,795.00	\$0.00
Biology	PENDING	635,Fideicomiso de Ciencia, Tecnología e Investigación de PR	9/1/2022 hasta 8/31/2024	\$65,603.00	\$0.00
Environmental Sciences	417147	108,Department of Energy (DOE)	10/12/2022 hasta 9/30/2023	\$60,000.00	\$0.00
Environmental Sciences	PENDING	119,Economic Development Administration (EDA)	2/1/2023 hasta 1/31/2024	\$25,783.00	\$0.00
Biology	F22AC02871-00	114,Department of the Interior	10/1/2022 hasta 10/1/2027	\$68,926.00	\$8,990.00

Biology	5U54CA096297-19	139,National Institutes of Health (NIH)	9/1/2022 hasta 8/31/2023	\$89,400.00	\$29,400.00
Biology	5P20GM103642-10	139,National Institutes of Health (NIH)	7/1/2022 hasta 6/30/2023	\$198,227.00	\$65,189.00
Biology	1R25HG012702	139,National Institutes of Health (NIH)	9/9/2022 hasta 7/31/2027	\$1,383,000.00	\$0.00
Biology	1R25HG012702	139,National Institutes of Health (NIH)	9/9/2022 hasta 7/31/2027	\$285,553.00	\$21,151.00
Biology	2216584	143,National Science Foundation (NSF)	8/15/2022 hasta 5/31/2026	\$2,516,239.00	\$326,801.00
Biology	2216584	143,National Science Foundation (NSF)	8/15/2022 hasta 5/31/2026	\$0.00	\$0.00
Biology	2R15GM124595-02	139,National Institutes of Health (NIH)	9/22/2022 hasta 8/31/2025	\$447,000.00	\$147,000.00
Chemistry	B001328888	120,Environmental Protection Agency (EPA)	10/1/2022 hasta 9/30/2024	\$128,822.00	\$35,721.00
Chemistry	664449	108,Department of Energy (DOE)	2/8/2023 hasta 8/31/2025	\$240,000.00	\$0.00
Environmental Sciences	22-CA-11132762-414	159,US Department of Agriculture (USDA)	7/1/2022 hasta 8/15/2027	\$400,000.00	\$0.00
Biology	58-6090-2-005	159,US Department of Agriculture (USDA)	6/1/2022 hasta 10/31/2024	\$68,000.00	\$0.00

Chemistry	AWARD LETTER 6-29-22	699,Other Foundations	8/10/2022 hasta 7/31/2023	\$10,000.00	\$0.00
Biology	2231637	143,National Science Foundation (NSF)	7/1/2022 hasta 6/30/2024	\$64,607.00	\$7,635.00
Chemistry	DE-SC0023418	108,Department of Energy (DOE)	9/1/2022 hasta 8/31/2025	\$750,000.00	\$134,109.00
Totals:				\$9,590,898.71	\$1,030,127

Table 4

New Projects and Opportunities for Future Grants & Funding (DEGI)

AGENCY DATE	AGENCY OR PROGRAM	FACULTY
At the very brief	USGS Interagency Agreement	School of Planning
	NSF Secure and Trustworthy Cyberscape (SaTC) NSF 22-517	Law School
	Fundación Francisco Carvajal	Faculty of Natural Sciences Dept. of Biology
14 abril 2023	STOP VAW	Law School
17 abril 2023	OPTIONAL DRAFT-NEH Preservation and Access Grants- Education and Training	General Studies
28 abril 2023	CDBG-MIT	Dean's Office of Administration
28 abril 2023	Sea Grant	Faculty of Natural Sciences Dept. of Chemistry
28 abril 2023	Subaward NESO-SAMSHA	Faculty of Social Sciences- IPSI
mayo 2023 (La propuesta vence el 11 de Agosto de 2023)	NSF Innovative Technology Experiences for Students and Teachers (ITEST) NSF 22-585	School of Education Graduate Studies
2 mayo 2023	DOE-BES-Renewal	Faculty of Natural Sciences Dept. of Physics
5 mayo 2023	USDA-NIFA Distance Education Grants Program for Institutions of Higher Education in Insular Areas USDA-NIFA RIGP-009562	Faculty of Natural Sciences
16 mayo 2023	NEH Preservation and Access Grants- Education and Training	General Studies
17 mayo 2023	NEH Preservation Infrastructure and Capacity Building Challenge Grants	General Studies

18 mayo 2023	HUD- HUDRD - Hispanic Serving Institutions (HSI) Research Center of Excellence	Graduate School of Planning
24 mayo 2023	USGS Earthquake Hazards Research	DEGI
26 mayo 2023	NIH U-RISE	General Studies
31 mayo 2023	DOE-EERC (LAB 23-2954)	Faculty of Natural Sciences
16 junio 2023	NIMHD NIH PAR 23-111	Faculty of Natural Sciences

Lastly, the Department of the Family awarded this grant to the Social Work Program of the School of Social Sciences for a 5-year term and a total cost of \$11,989,270.

Project Title and Description: Puerto Rico Title IV-E Program

Description: This grant provides professional education and monetary support to undergraduate and graduates social work students who intend to pursue or continue a career in the field of child welfare services. The monetary support is provided is provided to undergraduate and graduate social work students to pursue their professional practice in the field. See below document for evidence of the grant. (See below for **Appendix A**).

APPENDIX A

University of Puerto Rico, Rio Piedras Campus
Office for Sponsored Programs and Entrepreneurial Initiatives (OSPEI)
PROPOSAL SUMMARY FORM

Proposals in final format must reach the Office for Sponsored Programs and Entrepreneurial Initiatives (OSPEI)
at least five (5) working days prior to the Sponsor's deadline.
Failure to meet the deadline may jeopardize the on-time submission of the proposal.

Date: (mm/dd/yy) 15/diciembre/2022

PART I: PRINCIPAL INVESTIGATOR/PROJECT DIRECTOR INFORMATION

Principal Investigator/Project Director Gisela Negron, Ph.D	Phone 	E-Mail gisela.negron@upr.edu	Faculty /Department/Institute/Center Sociales/Trabajo Social
Co-PI/Project Director 	Phone 	E-Mail 	Faculty /Department/Institute/Center
PI/PD Funded Effort on Project Academic Year (%) Summer	Co-PI/Co-PD Funded Effort on Project: Academic Year (%) Summer (%)		

PART II: PROJECT AND AGENCY INFORMATION

Project Title and Description: Puerto Rico Title IV-E Program.	Project Duration From: 1-Aug-2023 To: 31-Jul-2028	
The Puerto Rico Title IV-E Program provides professional education and monetary support to undergraduate and graduates social work students who intend to pursue or continue a career in the field of child welfare services. The monetary support is provided to undergraduate and graduate social work students who want to pursue their professional practice in this field. Upon graduation, students must complete an employment obligation for a period of time equal to the period for which they received the monetary support. The two most important features of this program are: (1) the Integration of social work competencies for child welfare into classroom and field education and (2) the monetary support to students and employment obligation in a qualifying position at a qualifying agency.	Sponsor Deadline:	a la brevedad

Primary Sponsor Departamento de la Familia, ADFAN Titulo IV-E	Subcontracting Sponsor (If UPRP is the recipient)
Agreement Type (Check one) Grant <input checked="" type="checkbox"/> Contract <input type="checkbox"/> Cooperative Agreement <input type="checkbox"/> Subcontract <input type="checkbox"/> Other <input type="checkbox"/>	
Project Purpose (Check One) Research <input type="checkbox"/> Education <input type="checkbox"/> Training <input type="checkbox"/> Equipment <input type="checkbox"/> Other <input type="checkbox"/> Service <input checked="" type="checkbox"/> Travel <input type="checkbox"/> Conference <input type="checkbox"/> Facilities <input type="checkbox"/>	
Award Type (Check One) New <input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Resubmission <input type="checkbox"/> Renewal <input type="checkbox"/> Supplement <input type="checkbox"/> Amendment <input type="checkbox"/>	
Project Location (Check One) On-Campus <input checked="" type="checkbox"/> Off-Campus <input type="checkbox"/>	

PART III: PROJECT BUDGET INFORMATION

	EXTENAL FUNDS		INSTITUTIONAL INCENTIVES	
	INITIAL PERIOD	TOTAL PROJECT		TOTAL PROJECT
Total Direct costs	\$ 1,754,746	\$ 10,866,980	Salaries and Fringe Benefits	
Facilities & Administration Costs: UPR (34.3%)	\$ 234,525	\$ 1,122,289	Materials	
Total Project Costs	\$ 1,989,271	\$ 11,989,270	Travel	
Time Dedication/Institutional Incentive			Equipment	
			Other	\$ -
			Academic Load Substitution	
			Total	\$ -

PART IV: COMPLIANCE CONSIDERATION (Check all that apply)

Human Subjects <input type="checkbox"/>	Animal Use <input type="checkbox"/>	Recombinant DNA <input type="checkbox"/>	Biohazards <input type="checkbox"/>
Radioactive Materials <input type="checkbox"/>	Toxic/Hazardous materials <input type="checkbox"/>	Export Controls <input type="checkbox"/>	See http://www.bis.doc.gov/licensing/exportingbasics.htm

PART V: INSTITUTIONAL CONSIDERATION (Check all that apply)Time & Effort Compliance ☐Subcontracts/Subawards ☐Consultants ☐Financial Disclosure ☒Conflict of Interest**** ☐

(Please complete UPRRP Subaward Request Form)

(For NIH and NSF Proposals)

Patentable/Proprietary Info ☐International Project ☐Space ☐Renovations/Constructions ☐

Specify:

Specify:

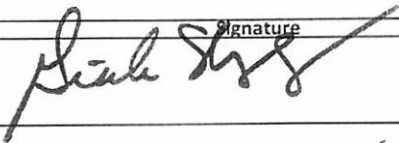
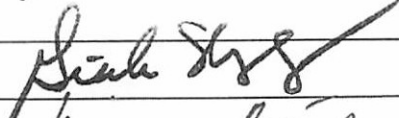
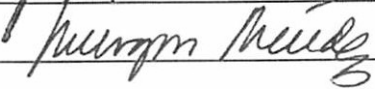
**** The Institutional Policy of the University of Puerto Rico regarding conflicts of interest and the processes for the notification of such conflicts is established in Certification No. 63 (2007-08) of the Board of Trustees titled "Policy on Conflicts of Interests and Disclosure of Financial Interests in Research and other Sponsored programs of the University of Puerto Rico". Researchers must indicate whether they or their immediate family has a direct or indirect financial conflict that could directly and significantly affect the design, completion or reporting of a research project to the intended federal agency.

PART VI: SIGNATURES

It is understood that if an award results from this application, I (We) will conduct the project in accordance with the terms and conditions of the sponsoring agency and the policies of the University of Puerto Rico, and I (We) will be fully responsible for meeting the requirements of the award, including providing the proper stewardship of sponsored funds, monitoring performance of any subaward or subcontracts, submitting all technical reports and deliverables on a timely basis, and properly disclosing all inventions to the University.

In signing this, the Principal Investigator/Program Director/Research Unit Director also certifies the following:

1. Is not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by a federal department or agency.
2. Have not and will not lobby any federal agency on behalf of this award.
3. That agree to complete all required IRB, IACUC, Responsible Conduct of Research, Conflict of Interest and other trainings as applicable;
4. That agrees to accept responsibility for the scientific conduct of the project and to provide the required progress reports if an award is made as a result of this application.

	Signature	Date
Principal Investigator/Program Director/ Research Unit Director <i>Gisela Negron, Ph.D</i>		
Co-PI/Co-PD		
Chair department: <i>Gisela Negron, Ph.D</i>		
Dean: Social Sciences: <i>Milagros Mendez, Ph.D</i>		27.mar.23
Office for Planning and Development (OPD) (if applicable)		
Carlos I. Gonzalez, Ph.D Authorized Organizational Representative		